

Vorlesung

Grundlagen der

Künstlichen Intelligenz

Reinhard Lafrenz / Prof. A. Knoll

Robotics and Embedded Systems
Department of Informatics – I6
Technische Universität München

www6.in.tum.de
lafrenz@in.tum.de

089-289-18136
Room 03.07.055



Wintersemester 2012/13

28.1.2013



Chapter (16+) 18

Decisions and Learning

with material from Russel/Norvig original slides and Michael Beetz

Rational preferences

Idea: preferences of a rational agent must obey constraints.

Rational preferences \Rightarrow

behavior describable as maximization of expected utility

Constraints:

Orderability

$$(A \succ B) \vee (B \succ A) \vee (A \sim B)$$

Transitivity

$$(A \succ B) \wedge (B \succ C) \Rightarrow (A \succ C)$$

Continuity

$$A \succ B \succ C \Rightarrow \exists p [p, A; 1 - p, C] \sim B$$

Substitutability

$$A \sim B \Rightarrow [p, A; 1 - p, C] \sim [p, B; 1 - p, C]$$

Monotonicity

$$A \succ B \Rightarrow (p \geq q \Leftrightarrow [p, A; 1 - p, B] \succeq [q, A; 1 - q, B])$$



Rational preferences (cont'd)

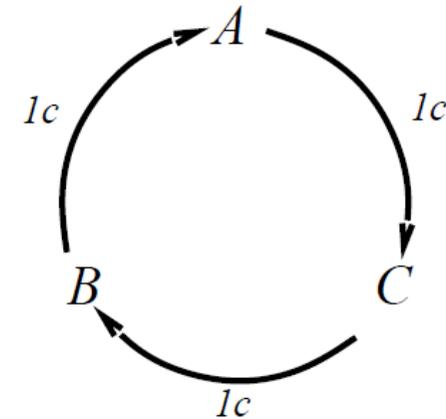
Violating the constraints leads to self-evident irrationality

For example: an agent with intransitive preferences can be induced to give away all its money

If $B \succ C$, then an agent who has C would pay (say) 1 cent to get B

If $A \succ B$, then an agent who has B would pay (say) 1 cent to get A

If $C \succ A$, then an agent who has A would pay (say) 1 cent to get C



Maximizing expected utility

Theorem (Ramsey, 1931; von Neumann and Morgenstern, 1944):

Given preferences satisfying the constraints
there exists a real-valued function U such that

$$U(A) \geq U(B) \Leftrightarrow A \succsim B$$
$$U([p_1, S_1; \dots ; p_n, S_n]) = \sum_i p_i U(S_i)$$

MEU principle:

Choose the action that maximizes expected utility

Note: an agent can be entirely rational (consistent with MEU)
without ever representing or manipulating utilities and probabilities

E.g., a lookup table for perfect tictactoe

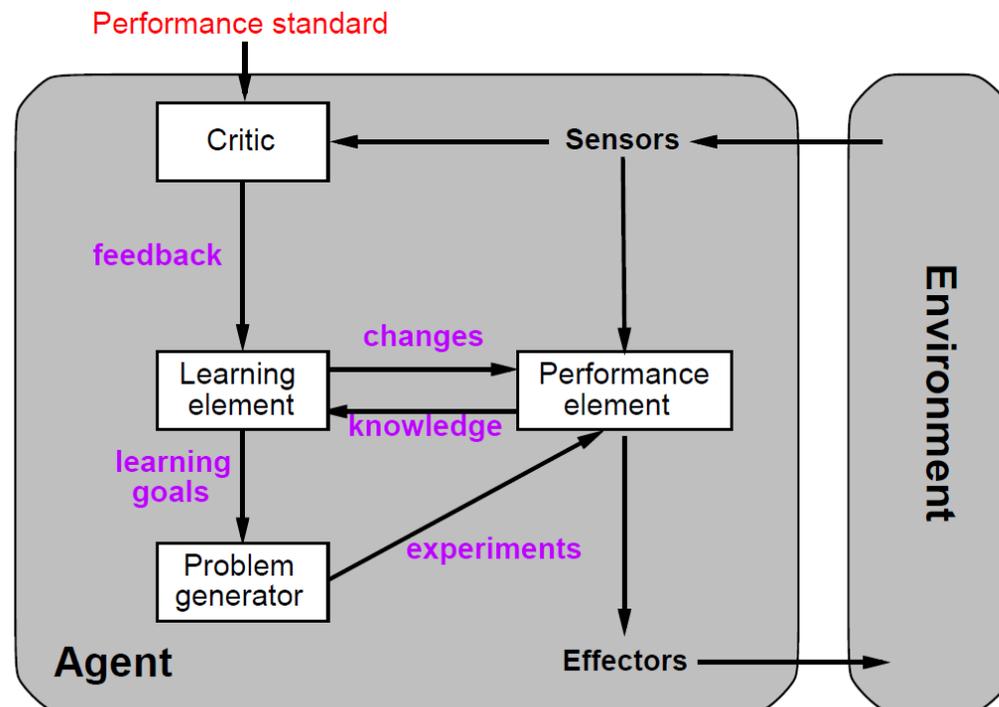


Learning

Learning is essential for unknown environments,
i.e., when designer lacks omniscience

Learning is useful as a system construction method,
i.e., expose the agent to reality rather than trying to write it down

Learning modifies the agent's decision mechanisms to improve performance



Learning element

Design of learning element is dictated by

- ◇ what type of performance element is used
- ◇ which functional component is to be learned
- ◇ how that functional component is represented
- ◇ what kind of feedback is available

Example scenarios:

Performance element	Component	Representation	Feedback
Alpha-beta search	Eval. fn.	Weighted linear function	Win/loss
Logical agent	Transition model	Successor-state axioms	Outcome
Utility-based agent	Transition model	Dynamic Bayes net	Outcome
Simple reflex agent	Percept-action fn	Neural net	Correct action

Supervised learning: correct answers for each instance

Reinforcement learning: occasional rewards



Learning in AI context

- Many learning algorithms widely used in practice:
 - (Artificial) Neural Networks
 - Support Vector Machines
 - Reinforcement learning
 - Learning from examples
 - etc.
- Special lecture “Machine learning”
- Here, we concentrate on Decision tree learning



Inductive learning

Simplest form: learn a function from examples (**tabula rasa**)

f is the target function

An example is a pair $x, f(x)$, e.g.,

O	O	X
	X	
X		

, $+1$

Problem: find a(n) hypothesis h
such that $h \approx f$
given a training set of examples

(This is a highly simplified model of real learning:

- Ignores prior knowledge
- Assumes a deterministic, observable “environment”
- Assumes examples are given
- Assumes that the agent wants to learn f —why?)



Approximate inference

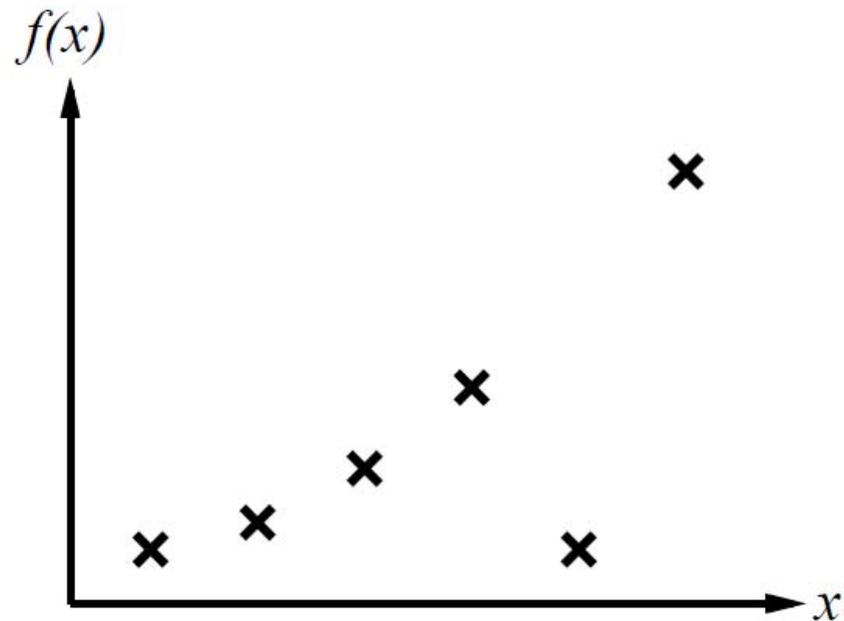
- In general, inference in Bayesian networks is NP-hard
- For polytrees, exact inference has linear time and space complexity.
- For all other network topologies, approximate algorithms are needed



Approximate inference

Construct/adjust h to agree with f on training set
(h is consistent if it agrees with f on all examples)

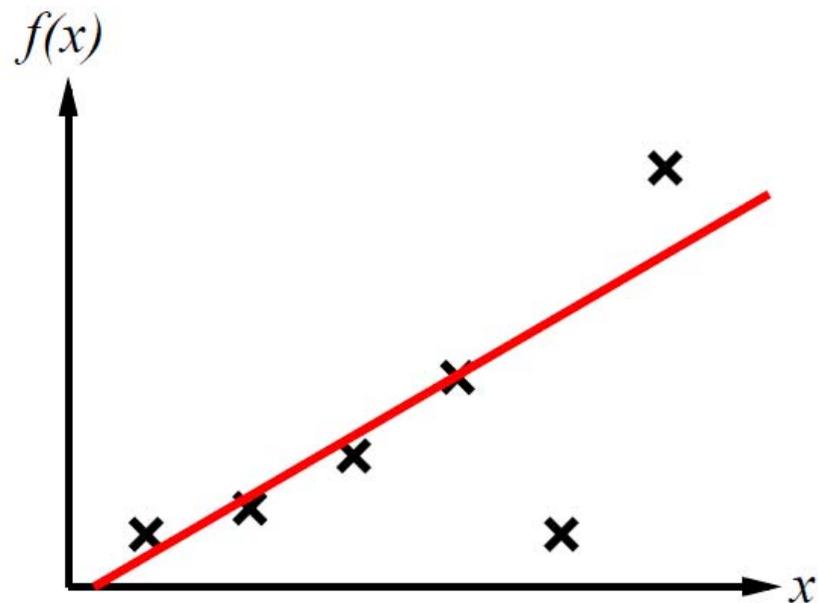
E.g., curve fitting:



Approximate inference

Construct/adjust h to agree with f on training set
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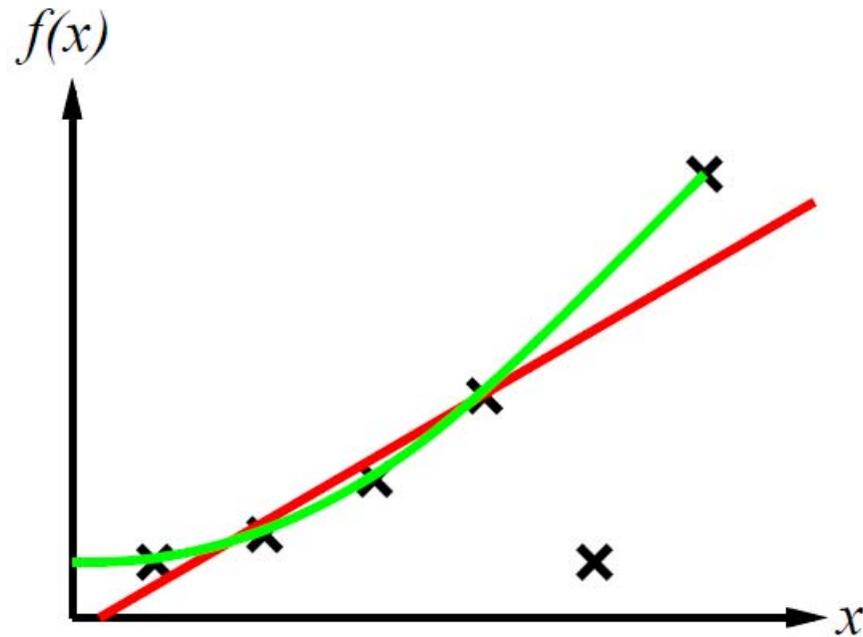
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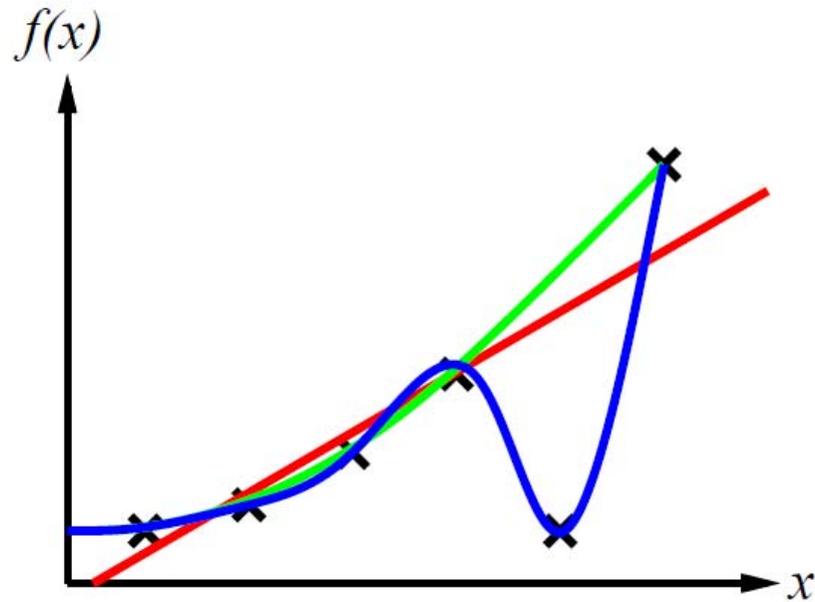
E.g., curve fitting:



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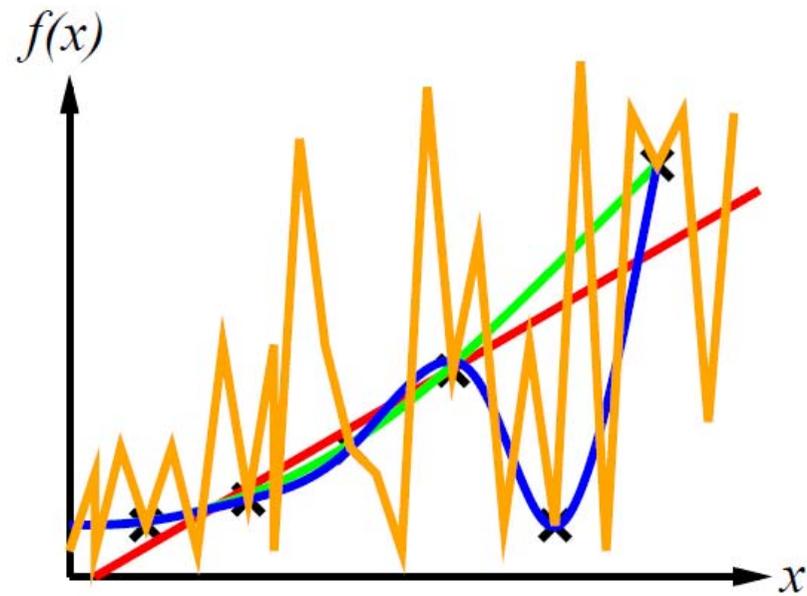
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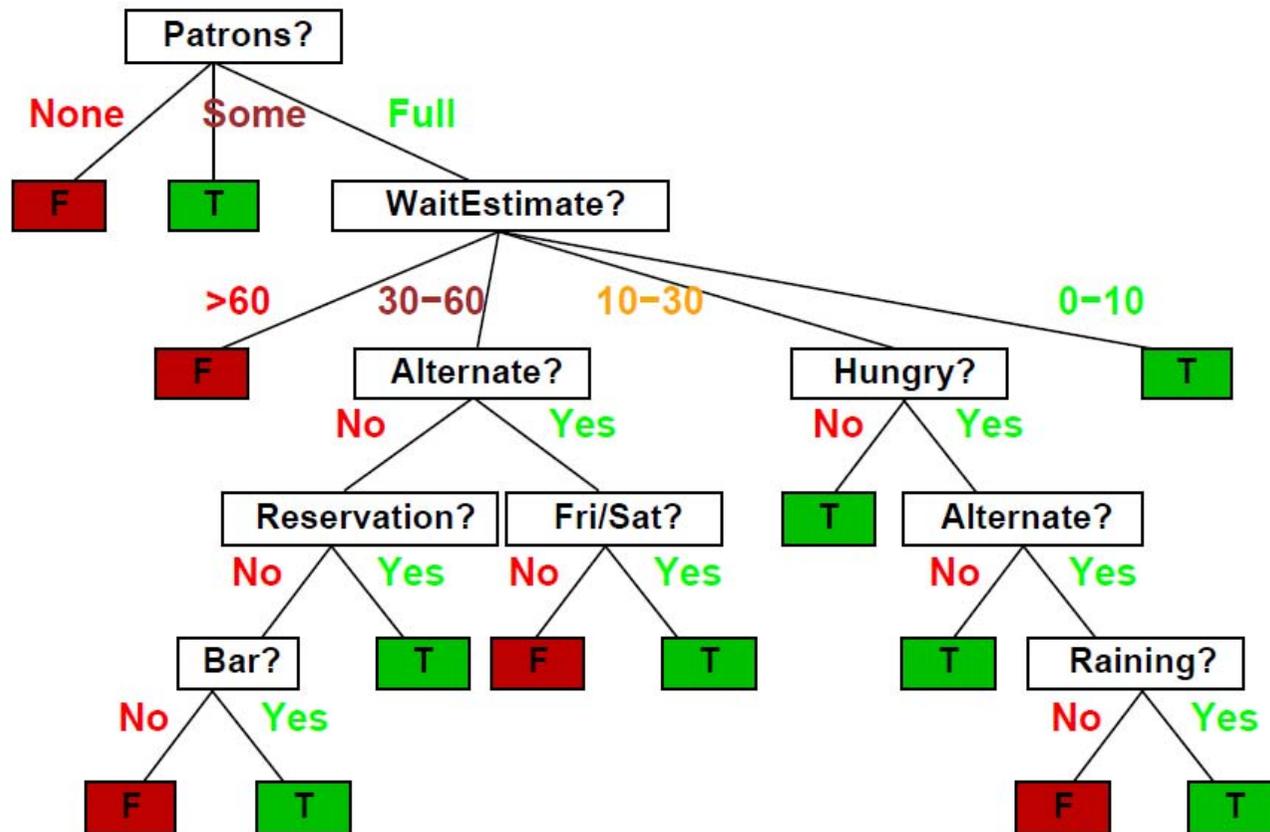
E.g., curve fitting:



Decision trees

One possible representation for hypotheses

E.g., here is the “true” tree for deciding whether to wait:



Attribute-based representations

Examples described by **attribute values** (Boolean, discrete, continuous, etc.)
 E.g., situations where I will/won't wait for a table:

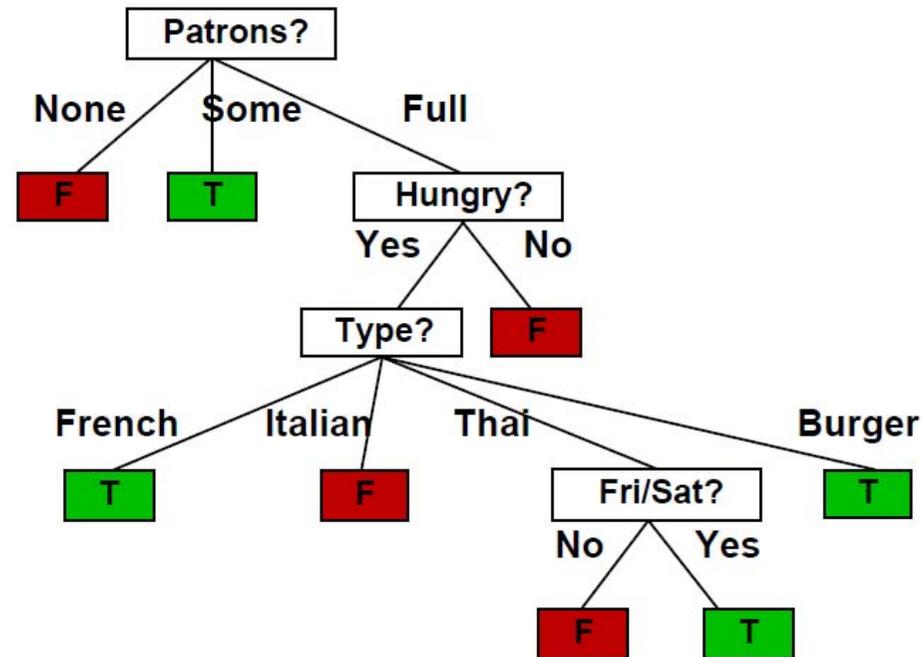
Example	Attributes										Target
	<i>Alt</i>	<i>Bar</i>	<i>Fri</i>	<i>Hun</i>	<i>Pat</i>	<i>Price</i>	<i>Rain</i>	<i>Res</i>	<i>Type</i>	<i>Est</i>	<i>WillWait</i>
X_1	<i>T</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>Some</i>	<i>\$\$\$</i>	<i>F</i>	<i>T</i>	<i>French</i>	<i>0-10</i>	<i>T</i>
X_2	<i>T</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>Full</i>	<i>\$</i>	<i>F</i>	<i>F</i>	<i>Thai</i>	<i>30-60</i>	<i>F</i>
X_3	<i>F</i>	<i>T</i>	<i>F</i>	<i>F</i>	<i>Some</i>	<i>\$</i>	<i>F</i>	<i>F</i>	<i>Burger</i>	<i>0-10</i>	<i>T</i>
X_4	<i>T</i>	<i>F</i>	<i>T</i>	<i>T</i>	<i>Full</i>	<i>\$</i>	<i>F</i>	<i>F</i>	<i>Thai</i>	<i>10-30</i>	<i>T</i>
X_5	<i>T</i>	<i>F</i>	<i>T</i>	<i>F</i>	<i>Full</i>	<i>\$\$\$</i>	<i>F</i>	<i>T</i>	<i>French</i>	<i>>60</i>	<i>F</i>
X_6	<i>F</i>	<i>T</i>	<i>F</i>	<i>T</i>	<i>Some</i>	<i>\$\$</i>	<i>T</i>	<i>T</i>	<i>Italian</i>	<i>0-10</i>	<i>T</i>
X_7	<i>F</i>	<i>T</i>	<i>F</i>	<i>F</i>	<i>None</i>	<i>\$</i>	<i>T</i>	<i>F</i>	<i>Burger</i>	<i>0-10</i>	<i>F</i>
X_8	<i>F</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>Some</i>	<i>\$\$</i>	<i>T</i>	<i>T</i>	<i>Thai</i>	<i>0-10</i>	<i>T</i>
X_9	<i>F</i>	<i>T</i>	<i>T</i>	<i>F</i>	<i>Full</i>	<i>\$</i>	<i>T</i>	<i>F</i>	<i>Burger</i>	<i>>60</i>	<i>F</i>
X_{10}	<i>T</i>	<i>T</i>	<i>T</i>	<i>T</i>	<i>Full</i>	<i>\$\$\$</i>	<i>F</i>	<i>T</i>	<i>Italian</i>	<i>10-30</i>	<i>F</i>
X_{11}	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>None</i>	<i>\$</i>	<i>F</i>	<i>F</i>	<i>Thai</i>	<i>0-10</i>	<i>F</i>
X_{12}	<i>T</i>	<i>T</i>	<i>T</i>	<i>T</i>	<i>Full</i>	<i>\$</i>	<i>F</i>	<i>F</i>	<i>Burger</i>	<i>30-60</i>	<i>T</i>

Classification of examples is positive (T) or negative (F)



Example

Decision tree learned from the 12 examples:



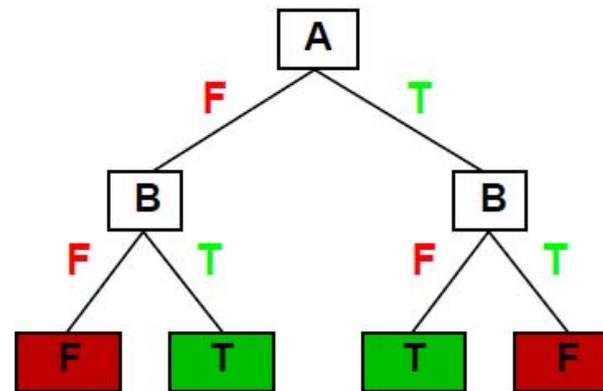
Substantially simpler than “true” tree—a more complex hypothesis isn’t justified by small amount of data



Expressiveness

Decision trees can express any function of the input attributes.
E.g., for Boolean functions, truth table row \rightarrow path to leaf:

A	B	A xor B
F	F	F
F	T	T
T	F	T
T	T	F



Trivially, there is a consistent decision tree for any training set
w/ one path to leaf for each example (unless f nondeterministic in x)
but it probably won't generalize to new examples

Prefer to find more **compact** decision trees



Hypothesis space

How many distinct decision trees with n Boolean attributes??

= number of Boolean functions

= number of distinct truth tables with 2^n rows = 2^{2^n}

E.g., with 6 Boolean attributes, there are 18,446,744,073,709,551,616 trees

How many purely conjunctive hypotheses (e.g., $Hungry \wedge \neg Rain$)??

Each attribute can be in (positive), in (negative), or out

$\Rightarrow 3^n$ distinct conjunctive hypotheses

More expressive hypothesis space

- increases chance that target function can be expressed 😊
- increases number of hypotheses consistent w/ training set
- \Rightarrow may get worse predictions 😞



Decision tree learning

Aim: find a small tree consistent with the training examples

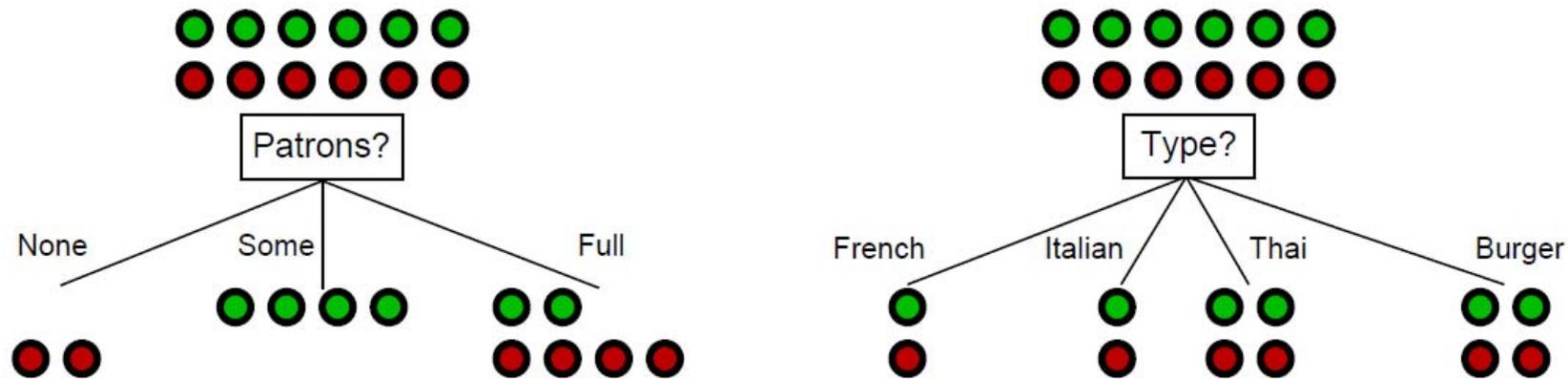
Idea: (recursively) choose “most significant” attribute as root of (sub)tree

```
function DTL(examples, attributes, default) returns a decision tree
  if examples is empty then return default
  else if all examples have the same classification then return the classification
  else if attributes is empty then return MODE(examples)
  else
    best ← CHOOSE-ATTRIBUTE(attributes, examples)
    tree ← a new decision tree with root test best
    for each value  $v_i$  of best do
       $examples_i$  ← {elements of examples with  $best = v_i$ }
      subtree ← DTL( $examples_i$ , attributes – best, MODE(examples))
      add a branch to tree with label  $v_i$  and subtree subtree
  return tree
```



Choosing an attribute

Idea: a good attribute splits the examples into subsets that are (ideally) “all positive” or “all negative”



Patrons? is a better choice—gives **information** about the classification



Information

Information answers questions

The more clueless I am about the answer initially, the more information is contained in the answer

Scale: 1 bit = answer to Boolean question with prior $\langle 0.5, 0.5 \rangle$

Information in an answer when prior is $\langle P_1, \dots, P_n \rangle$ is

$$H(\langle P_1, \dots, P_n \rangle) = \sum_{i=1}^n -P_i \log_2 P_i$$

(also called **entropy** of the prior)



Information (cont'd)

Suppose we have p positive and n negative examples at the root

$\Rightarrow H(\langle p/(p+n), n/(p+n) \rangle)$ bits needed to classify a new example

E.g., for 12 restaurant examples, $p = n = 6$ so we need 1 bit

An attribute splits the examples E into subsets E_i , each of which (we hope) needs less information to complete the classification

Let E_i have p_i positive and n_i negative examples

$\Rightarrow H(\langle p_i/(p_i+n_i), n_i/(p_i+n_i) \rangle)$ bits needed to classify a new example

\Rightarrow **expected** number of bits per example over all branches is

$$\sum_i \frac{p_i + n_i}{p + n} H(\langle p_i/(p_i + n_i), n_i/(p_i + n_i) \rangle)$$

For *Patrons?*, this is 0.459 bits, for *Type* this is (still) 1 bit

\Rightarrow choose the attribute that minimizes the remaining information needed

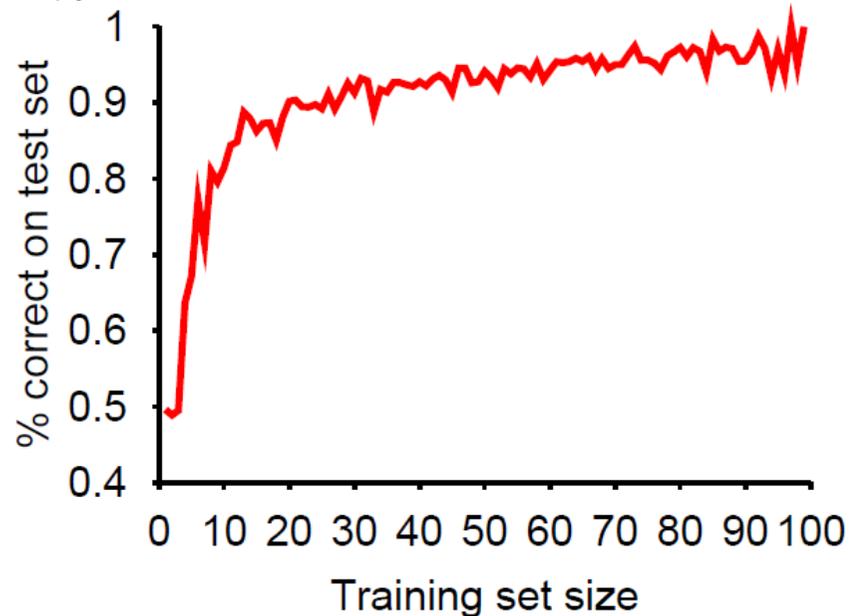


Performance measurement

How do we know that $h \approx f$? (Hume's **Problem of Induction**)

- 1) Use theorems of computational/statistical learning theory
- 2) Try h on a new test set of examples
(use **same distribution over example space** as training set)

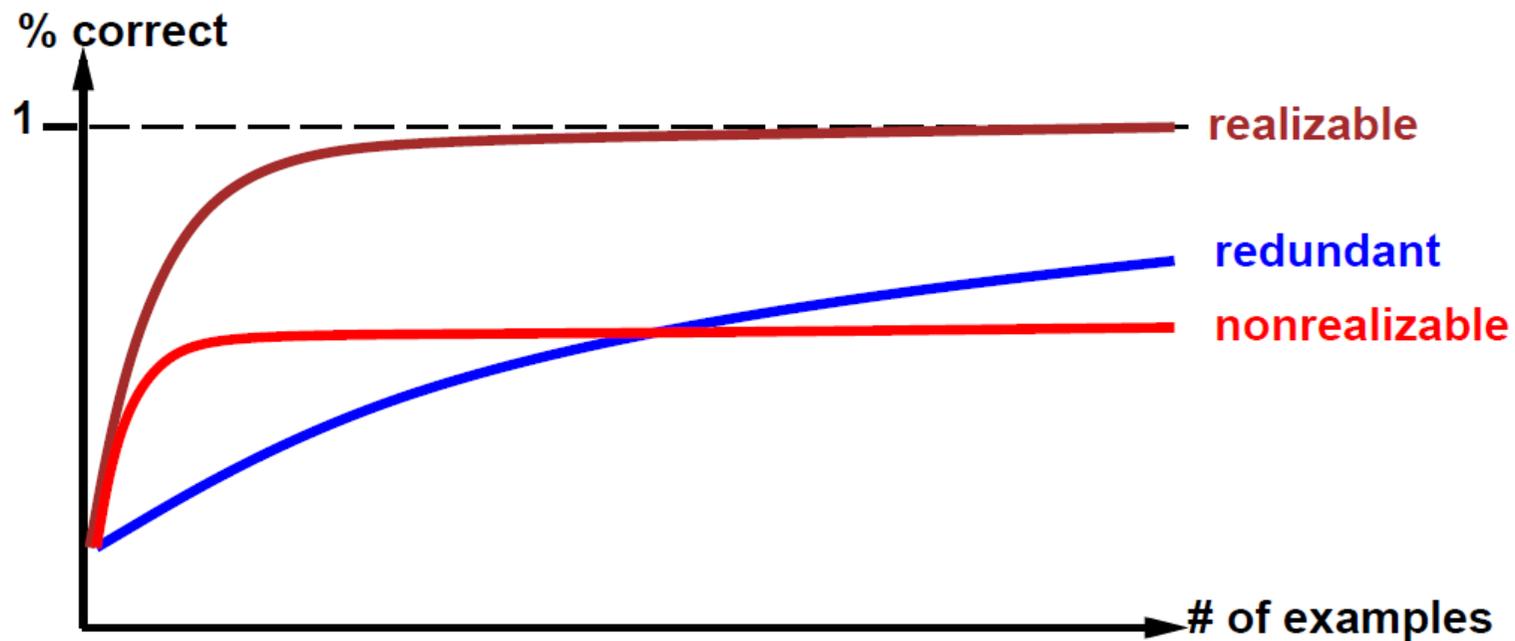
Learning curve = % correct on test set as a function of training set size



Performance measurement (cont'd)

Learning curve depends on

- **realizable** (can express target function) vs. **non-realizable**
non-realizability can be due to missing attributes
or restricted hypothesis class (e.g., thresholded linear function)
- redundant expressiveness (e.g., loads of irrelevant attributes)



Summary

- Learning needed for unknown environments, lazy designers
- Learning agent = performance element + learning element
- Learning method depends on type of performance element, available feedback, type of component to be improved, and its representation
- For supervised learning, the aim is to find a simple hypothesis that is approximately consistent with training examples
- Decision tree learning using information gain
- Learning performance = prediction accuracy measured on test set

